



EXPRESS MAIL NO. EV449559330US

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

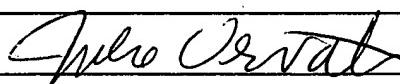
	Application Number	10/810,486
	Filing Date	March 26, 2004
	First Named Inventor	Mitsuru Furusawa
	Art Unit	1642
	Examiner Name	
	Attorney Docket No.	690116.401C1

## ENCLOSURES (check all that apply)

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Preliminary Amendment<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449<br><input checked="" type="checkbox"/> Cited References (10 refs.)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input checked="" type="checkbox"/> Drawing(s) (12 pages)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input checked="" type="checkbox"/> Declaration<br><input type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s)<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><u>Declaration re Sequence Listing;</u><br><u>CRF of Sequence Listing;</u><br><u>Paper copy of Sequence Listing</u><br><hr/> <hr/> <hr/> |
|--|---|--|

## Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

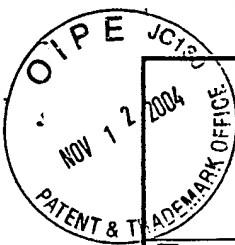
Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number <b>00500</b>
Signature		
Printed Name	Julie A. Urvater, Ph.D., Patent Agent	
Date	November 12, 2004	Reg. No. 50,461

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature		
Typed or printed name	Date:	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 1803)

Complete if Known	
Application Number	10/810,486
Filing Date	March 26, 2004
First Named Inventor	Mitsuru Furusawa
Examiner Name	
Art Unit	1642
Attorney Docket No.	690116.401C1

## METHOD OF PAYMENT (check all that apply)

<input checked="" type="checkbox"/> Payment Enclosed:	<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other
<input type="checkbox"/> Deposit Account:	
Deposit Account Number	19-1090
Deposit Account Name	Seed Intellectual Property Law Group PLLC

## The Director is authorized to (check all that apply)

- Charge fee(s) indicated below    Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee  
 Charge any deficiencies

to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	395
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)		(\$ 395)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	124	-20	= 104	*	9	= 936	Fee from below	Fee Paid
Independent Claims	11	-3	= 8	*	44	= 352		
Multiple Dependent								

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 1288)		

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)								
3. ADDITIONAL FEES				Small				Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description				
1051	130	2051	65	Surcharge - late filing fee or oath				65
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet				
1053	130	1053	130	Non-English specification				
1812	2520	1812	2520	For filing a request for ex parte reexamination				
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action				
1251	110	2251	55	Extension for reply within first month				55
1252	430	2252	215	Extension for reply within second month				
1253	980	2253	490	Extension for reply within third month				
1254	1530	2254	765	Extension for reply within fourth month				
1255	2080	2255	1040	Extension for reply within fifth month				
1401	340	2401	170	Notice of Appeal				
1402	340	2402	170	Filing a brief in support of an appeal				
1403	300	2403	150	Request for oral hearing				
1451	1510	1451	1510	Petition to institute a public use proceeding				
1452	110	2452	55	Petition to revive – unavoidable				
1453	1370	2453	685	Petition to revive – unintentional				
1501	1370	2501	685	Utility issue fee (or reissue)				
1502	490	2502	245	Design issue fee				
1503	660	2503	330	Plant issue fee				
1460	130	1460	130	Petitions to the Commissioner				
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
1806	180	1806	180	Submission of Information Disclosure Stmt				
8021	40	8021	40	Recording each patent assignment per property (times number of properties)				
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))				
1801	790	2801	395	Request for Continued Examination (RCE)				
1802	900	1802	900	Request for expedited examination of a design application				
Other fee (specify) _____								
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)			(\$ 120)

SUBMITTED BY			
Name (Print/Type)	Julie A. Urvater, Ph.D. Patent Agent		Registration No. Attorney/Agent)
Signature			Date

Customer Number  
**00500**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

532152\_1.DOC



EXPRESS MAIL NO. EV449559330US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitsuru Furusawa  
Application No. : 10/810,486  
Filed : March 26, 2004  
For : METHOD AND SYSTEM FOR RAPIDLY CONFERRING A  
DESIRED TRAIT TO AN ORGANISM  
Art Unit : 1642  
Docket No. : 690116.401C1  
Date : November 12, 2004

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

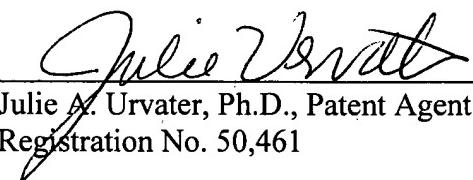
RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated August 12, 2004, please find enclosed the following documents:

- Fee  
 Oath/Declaration  
 Copy of Notice to File Missing Parts  
 12 Sheets of New Drawings  
 Sequence Listing (w/CRF and Declaration)

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
Julie A. Urvater, Ph.D., Patent Agent

Registration No. 50,461



IFN Boosker

Express Mail No. EV449559330US

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
PO. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/810,486	03/26/2004	Mitsuru Furusawa	690116.401C1

00500  
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
701 FIFTH AVE  
SUITE 6300  
SEATTLE, WA 98104-7092

## CONFIRMATION NO. 8553

## FORMALITIES LETTER



\*OC000000013524149\*

Date Mailed: 08/12/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/16/2004 CCHAU1 00000106 10810486

## FILED UNDER 37 CFR 1.53(b)

01 FC:2001	395.00 OP
02 FC:2051	65.00 OP
03 FC:2201	352.00 OP
04 FC:2202	936.00 OP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1280** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

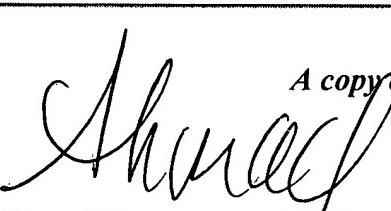
**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1730** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1280**
  - **\$344** for 8 independent claims over 3.
  - **\$936** for 104 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE